



## GUIDELINES AND CRITERIA FOR ALLOCATION OF RESOURCES AND FOCUSES IN 2017 AND 2018

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## GUIDELINES AND CRITERIA FOR THE APPLICATION OF THE AMAZON FUND'S RESOURCES IN THE BRAZILIAN AMAZON

Application	Application limit of the total resources available in the year	Tables
Projects in the Brazilian Amazon	no limits	A - F

The Brazilian Amazon (or Legal Amazon) comprises all of the states of Acre, Pará, Amazonas, Roraima, Rondônia, Amapá and Mato Grosso, and the regions located north of the 13 ° S parallel of the states of Tocantins and Goiás and to the west of the meridian of 44 ° W of the State of Maranhão (article 3, I, of Law 12.651, of May 25, 2012)

### A. Guidance Criteria

Code	Criteria
A1	Topic
A2	Geography
A3	Diversity of Agents involved and Shared Governance
A4	Target Audience
A5	Importance

### B. Minimum Requirements for Projects

Code	Conditions
B1	Result Indicators
B2	Applicants/ Executors
B3	Social Participation
B4	Consistency with the Amazon Fund topics
B5	Consistency with the federal plan and the state plans to prevent and combat deforestation and Proveg
B6	Consistency with ENREDD+
B7	Additionality of Resources
B8	Counterpart funds
B9	Territorial Base
B10	Publicity and Transparency
B11	Project Sustainability
B12	Non-concentration of resources
B13	Benefits of collective use
B14	Not replacing other sources of financing

### C. Resource Application Modalities

Code	Modalities
C1	Direct Application – Investment
C2	Direct Application – Financing
C3	Payment for environmental services
C4	Indirect Application

### D. Resource Use Restrictions

Code	Restrictions
D1	Daily payment
D2	Payment to Individuals
D3	Taxes

### E. Equality Criteria in Resource Application

Code	Criteria
E1	Equality in resource application per state
E2	Equality per type of proponent

### F. Resource Application Restrictions

Code	Restrictions
F1	Projects with economic purposes
F2	Projects with economic purposes to support socially-disadvantaged groups
F3	Projects with economic purposes of scientific and technological research developed in cooperation with Technology Institutions (ITs) and entities with economic purposes.

<b>GUIDANCE CRITERIA</b>
<b>A1 - Topic</b>
<p>The Amazon Fund supports projects in the following thematic areas:</p> <ul style="list-style-type: none"> <li>○ Management of public forests and protected areas;</li> <li>○ Control, monitoring and environmental inspection;</li> <li>○ Sustainable forest management;</li> <li>○ Economic activities developed from the sustainable use of vegetation;</li> <li>○ Ecological and economic zoning, territorial planning and land regularization;</li> <li>○ Conservation and sustainable use of biodiversity; and</li> <li>○ Recovery of deforested areas.</li> </ul> <p>The projects should follow the focus established in these guidelines in Table I.</p>
<b>A2 - Geography</b>
<ul style="list-style-type: none"> <li>○ Management of public forests and protected areas; Projects carried out in the priority municipalities to prevent, monitor and combat deforestation (these municipalities are defined in accordance with Article 2 of Law N<sup>o</sup>. 6,321/2007);</li> <li>○ Projects carried out in municipalities under area of influence of major infrastructure works;</li> <li>○ Projects carried out in municipalities / regions with greater conservation of forest cover and</li> <li>○ Projects carried out in priority areas for the conservation of biodiversity or the improvement of the conservation status of endangered species of fauna and flora.</li> </ul>
<b>A3 - Diversity of Agents Involved and Shared Governance</b>
<p>Projects involving contact between diverse agents from the public and private sector, third sector or local communities with a shared governance structure.</p>
<b>A4 - Target Audience</b>
<p>Projects involving direct benefits for traditional communities, settlements and family farmers.</p>
<b>A5 - Importance</b>
<p>Projects with the highest potential for replication            Projects with the highest potential impact (e.g. R\$ / sustainably managed or protected hectares of forest)</p>

<b>MINIMUM REQUIREMENTS FOR PROJECTS</b>
<b>B1 - Result Indicators</b>
<p>Project must include measurable indicators for results that are directly related to the Amazon Fund's goals .</p>
<b>B2 - Applicants / Executors</b>
<p>Projects must include agreement of all partners and co-executors.</p>
<b>B3 - Social Participation</b>
<p>Projects involving traditional communities and indigenous people must necessarily present documents certifying the previous consent of these communities or their representative institutions. The communities involved should be explained in the project.</p>
<b>B4 - Consistency with the Amazon Fund topics</b>
<p>Projects must be compatible with at least one topic, as stated in Law N<sup>o</sup>. 6,527/2008.</p>
<b>B5- Consistency with the Federal Plan and State Plans to Prevent and Combat Deforestation and Proveg</b>
<p>Projects must demonstrate clear coherence with actions foreseen in the Plan for the Prevention and Control of Deforestation in the Legal Amazon - PPCDAm, in the State Plans for Prevention and Combat of Deforestation and, when applicable, with the National Policy for the Recovery of Native Vegetation - Proveg.</p>
<b>B6- Consistency with ENREDD+</b>
<p>Projects must demonstrate clear consistency with the National Strategy for Reducing Emissions of Greenhouse Gases from Deforestation and Forest Degradation, Forest Carbon Stocking, Sustainable Forest Management, and Forest Carbon Stock Enhancement - ENREDD +.</p>

<p><b>B7- Additionality of Resources</b></p> <p>Projects must respect the principle of additionality to the direct public budgets allocated to the Amazon Fund's application areas. In applying this criterion the following aspects may be considered:</p> <ul style="list-style-type: none"> <li>○ The average direct public budget executed in the previous two (2) years in the public budget invested in the proposed action;</li> <li>○ Variation of the budget of the responsible institution or government body compared to the variation of the budget of the federative entity to which it is linked or integrates (in relation to the previous year);</li> <li>○ Forecasts from current government pluri-annual plans (PPAs)</li> </ul>
<p><b>B8- Counterpart funds</b></p> <p>Projects must present counterpart funds and/or non-financial contributions, showing additionalities to resources received from the Amazon Fund and produce a multiplying effect for fund investments. Counter-applications can be in the form of financial resources directly invested in the project or by providing infrastructure, personnel and other indirect forms.</p>
<p><b>B9- Territorial Base</b></p> <p>Projects must clarify their territorial base (state and, where applicable, municipality)</p>
<p><b>B10- Publicity and Transparency</b></p> <p>Projects must present a disclosure mechanism of its implementation through the Internet.</p>
<p><b>B11- Project Sustainability</b></p> <p>Submit support strategies for the project's results after its implementation.</p>
<p><b>B12- Non-concentration of resources</b></p> <p>In the Fund's efforts, there must be an effort to balance support in all its topic areas, in accordance with the priorities that are established.</p>
<p><b>B13- Benefits of Collective Use</b></p> <p>The results of projects with economic purposes should prioritize collective or public benefits related to:</p> <ul style="list-style-type: none"> <li>○ The productive infrastructure, services and inputs for collective use, without prejudice to the individual appropriation of benefits by the target population of the Amazon Fund (item A 4);</li> <li>○ Studies and surveys with results available to the community;</li> <li>○ Training and capacity building open to the community;</li> <li>○ Technological development with results open to the community, whenever feasible;</li> <li>○ Replicable innovations with practical applications;</li> <li>○ Other collective benefits identified in the project evaluation process.</li> </ul>
<p><b>B14- Not replacing other sources of financing</b></p> <p>The Amazon Fund resources cannot replace other available sources of financing.</p>

<p><b>RESOURCE APPLICATION MODALITIES</b></p>
<p><b>C1 - Direct Application - Investment</b></p> <p>Applications made directly by project executors, even through third-party contracts. This includes investments in buildings, equipment, training and qualification to establish initiatives. Projects may use more than one modality.</p>
<p><b>C2 - Direct Application - Financing</b></p> <p>Applications made directly by project executors, even through third-party contracts. This includes travel expenses/field missions, individual or company consulting, field materials, communication, among others. Projects may use more than one modality.</p>
<p><b>C3 - Payment for environmental services</b></p> <p>Payments made to providers of environmental services. Projects may use more than one modality.</p>
<p><b>C4 - Indirect Application</b></p> <p>Indirect applications by aggregating small projects, including funds and other organizations that implement projects.</p>

## RESOURCE USE RESTRICTIONS

### D1 – Out-of-pocket expense payments

No out-of-pocket expense payments will be made to public agents, such as civil servants, public employees or any person in a public post. This restriction does not apply in the case of financing for research activities.

### D2 – Payment to Individuals

Payments of salaries or any type of remuneration may not be made to public agents, such as civil servants, public employees or any person in a public post in the three spheres of government (this restriction does not apply to the payment of research or study scholarships specifically related to the project).

### D3 – Taxes

Resources cannot be applied to pay taxes that are not inherent or an integral part of financing or investments made by the project (restriction does not apply to taxes related to project activities, such as ICMS (valued-added tax on sales and services) included in the price of products; INSS (National Institute of Social Security) on the payment for the services of individuals etc.)

## EQUALITY CRITERIA IN RESOURCE APPLICATION

### E1 – Equality in resource application per state

Avoid concentration of project resources in one state only

### E2 – Equality per type of applicant

Avoid concentration of resources among applicants: government agencies, research institutions and civil society organizations. Within the context of the Amazon Fund, civil society includes non-governmental organizations, unions/guilds (representations for categories), firms and other institutions governed by private law.

## RESOURCE APPLICATION LIMITATIONS

### F1 – Projects with economic purposes

The Amazon Fund maximum participation:

90% for projects that involve small and micro businesses, producer cooperatives or associations with annual gross operating revenues less than or equal to R\$ 3.6 million;

70 % for projects that involve medium-sized businesses, producer cooperatives or associations with annual gross operating revenue above R\$ 3.6 million and less than or equal to R\$ 300 million;

50% for projects that involve large companies, producer cooperatives or associations with annual gross operating revenue above R\$ 300 million.

Note: in the event of early activities on the calendar year, above limits will be proportional to the number of months in which the company has been operating, not considering fractions of months. In the case of companies under implementation, the annual sales projection will be considered, taking into account the total installed capacity. When the company is controlled by another company, or belongs to a business group, the size classification will consider the consolidated gross operating revenue.

### F2 - Projects with economic purposes to support socially disadvantaged groups

Maximum share of the Amazon Fund, in duly justified cases: 100%. Economic results brought about by projects to support socially-disadvantaged groups should be distributed to the members, regardless of who the applicant is.

### F3- Projects with economic purposes for scientific and technological research developed in cooperation with Technology Institutions (ITs) and companies with economic purposes

Maximum share of the Amazon Fund:

90 % for projects involving small and micro businesses, producer cooperatives or associations with annual gross operating revenues less than or equal to R\$ 3.6 million;

80% for projects involving medium-sized businesses, producer cooperatives or associations with annual gross operating revenue greater than R\$ 3.6 million and less than or equal to R\$ 300 million;

70% for projects involving large companies, producer cooperatives or associations with annual gross operating revenue above R\$ 300 million

- Beneficiaries of the financial resources from the Amazon Fund will be Technological Institutions (ITs) and/or Support Institutions (IAs).
- Technological Institution (IT): companies governed by internal public law or an entity directly or indirectly controlled by it or a non-profit company governed by, private law, whose institutional mission, among others, is to carry out basic or applied research activities of a scientific or technological character, as well as technological development.
- Supporting Institutions (IA): non-profit institutions created to support research, teaching, and extension and institutional, scientific and technological development of interest to higher education institutions and scientific and technological research institutions and those institutions created under the terms of law N<sup>o</sup>. 8,958, of December 20, 1994, which have the same purpose;
- Companies and/or other entities with economic purposes with strategic interest in research will not be direct beneficiaries of resources. They intermediate financing operations and will provide financial contribution to supplement the Amazon Fund's resources.
- Support is offered to investments made to benefit the Technological Institutions (IT), with a special purpose to meet project goals;
- Participation in the intellectual property and economic results from project creations will adhere to provisions in the Innovation Law (Law N<sup>o</sup>. 10,973, of December 2, 2004). Thus, the parties, in an agreement, will establish the ownership of intellectual property and profit sharing. Provided they are established in the agreement, these will be proportionately secured at a rate equivalent to the aggregate value of existing knowledge at the beginning of the partnership and of human, financial and material resources allocated by the Contracting Parties in the project.
- During analysis, the BNDES will check related aspects of intellectual property rights resulting from the research, development and innovation project so as to avoid, when appropriate, practices that restrict use and assignment of these rights. In addition to the matters referred to, the BNDES, in the analysis stage, will also verify the criteria for divvying up the project's financial results.

## G. GUIDELINES AND CRITERIA FOR THE APPLICATION OF THE AMAZON FUND'S RESOURCES IN PROJECTS TO DEVELOP SYSTEMS TO MONITOR AND CONTROL DEFORESTATION IN BRAZIL OUTSIDE THE BRAZILIAN AMAZON

Application	Application limit of the total resources	Tables
Projects in Brasil outside the Brazilian Amazon and other tropical countries	20%	G and H

### GUIDANCE CRITERIA

#### G1 - Diversity of Agents Involved and Shared Governance

Projects involving contact between diverse agents, public, private and third sector or local communities with a shared governance structure.

#### G2 - Relevance

Projects that develop and implement long-term monitoring methodology for REDD.

#### G3 - Priority

Within the scope of support for other Brazilian biomes, priority will be given to permanent monitoring system projects per biome, which contribute to nationwide system for monitoring and controlling deforestation, burn-offs and forest fires, in accordance with the prevention and control plans.

#### G4 - Scope

Rural Environmental Registration (CAR) projects and integration of state forest management data into the National Forest Control Origin System - Sinaflor are considered part of environmental control systems.

### MINIMUM REQUIREMENTS FOR PROJECTS

#### G5 - Result Indicators

Projects must include measurable result indicators that are directly related to implementing systems so as to monitor deforestation or forest degradation.

#### G6 - Applicants / Executors

Projects must include agreement between all partners and co-executors.

#### G7 - Social Participation

Projects must have a monitoring phase, necessarily featuring governmental entities and civil society. Projects involving the development of monitoring systems should have a monitoring phase that necessarily includes the participation of governmental entities and civil society. Communities involved should be explained in the project.

#### G8 - Contribution to REDD

Projects must contribute directly or indirectly towards REDD.

#### G9 - Additionality of Resources

Projects must represent additionality to the public budgets destined to the areas of application of the Amazon Fund. In applying this criterion, the following aspects may be considered: the average direct public budget executed in the previous two (2) years in the public budget invested in the proposed action; variation of the budget of the responsible institution or government body compared to the variation of the budget of the federative entity to which it is linked or integrates (in relation to the previous year); and forecasts from current government multi-annual plans (PPAs).

#### G10 - Counterpart funds

Projects must present counterpart funds and/or non-financial contributions, showing additionalities to resources received from the Amazon Fund and produce a multiplying effect for fund investments. Counter-applications can be in the form of financial resources directly invested in the project or by providing infrastructure, personnel and other indirect forms.

#### G11 - Territorial Base

Projects must necessarily address the monitoring of forests of at least one entire biome.



<b>MINIMUM REQUIREMENTS FOR PROJECTS (cont.)</b>	
<b>G12 - Publicity and Transparency</b>	Monitoring systems supported by the Amazon Fund must be based on platforms that enable broad dissemination, transparency and access to data produced, via the internet.
<b>G13 - Project Sustainability</b>	Demonstration of the capacity to economically sustain the project after it is implemented.
<b>G14 - Decentralization of Resources</b>	In the Fund's efforts, there must be an effort to balance support in all its topic areas, in accordance with the priorities that are established.
<b>RESOURCE APPLICATION MODALITY</b>	
<b>G15 - Direct Application - Investment</b>	Applications made directly by project executors, even through third-party contracts. This includes investments in buildings, equipment, training and qualification to establish initiatives. Projects may use more than one modality.
<b>G16 - Direct Application - Financing</b>	Applications made directly by project executors, even through third-party contracts. This includes travel expenses/field missions, individual or company consulting, field materials, communication, among others. Projects may use more than one modality.
<b>RESOURCE USE RESTRICTIONS</b>	
<b>G17 - Out-of-pocket expense payments</b>	No out-of-pocket expense payments will be made to public agents, such as civil servants, public employees or any person in a public post. This restriction does not apply in the case of financing for research activities.
<b>G18 - Payment to individuals</b>	Payments of salaries or any type of remuneration may not be made to public agents, such as civil servants, public employees or any person in a public post in the three spheres of government (this restriction does not apply to the payment of research or study scholarships specifically related to the project).
<b>G19 - Taxes</b>	Resources cannot be applied to pay taxes that are not inherent or an integral part of financing or investments made by the project (restriction does not apply to taxes related to project activities, such as ICMS (valued-added tax on sales and services) included in the price of products; INSS (National Institute of Social Security) on the payment for the services of individuals etc.)
<b>EQUALITY CRITERIA IN RESOURCE APPLICATION</b>	
<b>G20 - Equality in resource application per state</b>	Avoid concentration of project resources in one state only

## H. GUIDELINES AND CRITERIA FOR THE APPLICATION OF THE AMAZON FUND'S RESOURCES IN PROJECTS TO DEVELOP SYSTEMS TO MONITOR AND CONTROL DEFORESTATION IN OTHER TROPICAL COUNTRIES

Application	Application limit of the total resources	Tables
Projects in Brasil outside the Brazilian Amazon and other tropical countries	20%	G and H

### GUIDANCE CRITERIA

#### H1 - Diversity of Agents Involved and Shared Governance

Projects involving contact between diverse agents, public, private and third sector or local communities with a shared governance structure. Projects that involve regional articulation.

#### H2 - Relevance

Countries with large-scale forest coverage.

#### H3 - Scope

In other tropical countries, the Amazon Fund support will be limited to projects that contribute to creating or improving systems to monitor forest coverage and deforestation control systems.

Forest coverage monitoring systems is understood as applying techniques that involve processing (e.g., geo-referencing, enhancements and classification) of images of the Earth's surface (aerial or satellite) for the purpose of mapping land cover and use, deforestation, forest degradation and forest regeneration (regeneration and reforestation), using the information produced (e.g., mapping, spatial analysis and statistics) for forest management.

Deforestation control systems include devising action plans to reduce deforestation, developing platforms for measuring, reporting and verifying forest cover data, organizing, managing and making available information on the process of deforestation, such as management plans, authorizations, permits, sale and transportation documents and other forest control documents. It also includes support for the creation and improvement of forest product traceability systems (definition of methodologies and procedures, database development and information management systems).

### MINIMUM REQUIREMENTS FOR PROJECTS

#### H4 - Result Indicators

Projects must include measurable result indicators that are directly related to implementing systems so as to monitor deforestation or forest degradation.

#### H5 - Applicants / Executors

Projects must be presented by the central government of the beneficiary country, multilateral institutions or by Brazilian governmental institutions, and, in the two latter cases, must have the formal consent of the central government of the country that will benefit from the efforts in the project.

#### H6 - Contribution to REDD

Projects must contribute directly or indirectly towards REDD.

#### H7 - Counterpart funds

Projects must present counterpart funds and/or non-financial contributions, showing additionalities to resources received from the Amazon Fund and produce a multiplying effect for fund investments. The following aspects may be considered: the average direct public budget executed in the previous two (2) years in the public budget invested in the proposed action; variation of the budget of the responsible institution or government body compared to the variation of the budget of the federative entity to which it is linked or integrates (in relation to the previous year); and forecasts from current government multi-annual plans (PPAs). The contributions can be in the form of financial resources directly invested in the project or by the provision of infrastructure, personnel and other indirect forms.

#### H8 - Publicity and Transparency

Monitoring systems supported by the Amazon Fund must be based on platforms that enable broad dissemination, transparency and access to data produced, via the internet.

#### H9 - Project Sustainability

Demonstration of the capacity to economically sustain the project after it is implemented.

<b>MINIMUM REQUIREMENTS FOR PROJECTS (cont.)</b>	
<b>H10 – Decentralization of Resources</b>	
	In the Fund's efforts, there must be an effort to balance support in all its topic areas, in accordance with the priorities that are established.
<b>H11 – Previous phase</b>	
	As a stage to consider international projects, the BNDES, prior to project eligibility, will request a formal assessment from the Ministry of Foreign Affairs (MRE) on the priority and the impacts of the project with regard to Brazil's foreign relations.
<b>RESOURCE APPLICATIONS MODALITIES</b>	
<b>H12 – Direct Application – Investment</b>	
	Applications made directly by project executors, even through third-party contracts. This includes investments in buildings, equipment, training and qualification to establish initiatives. Projects may use more than one modality.
<b>H13 – Direct Application – Financing</b>	
	Applications made directly by project executors, even through third-party contracts. This includes travel expenses/field missions, individual or company consulting, field materials, communication, among others. Projects may use more than one modality.
<b>RESOURCE USE RESTRICTIONS</b>	
<b>H14 – Out-of-pocket expense Payment</b>	
	No out-of-pocket expense payments will be made to public agents, such as civil servants, public employees or any person in a public post. This restriction does not apply in the case of financing for research activities.
<b>H15 – Payment to Individuals</b>	
	Payments of salaries or any type of remuneration may not be made to public agents, such as civil servants, public employees or any person in a public post in the three spheres of government (this restriction does not apply to the payment of research or study scholarships specifically related to the project).
<b>H16 – Taxes</b>	
	Resources cannot be applied to pay taxes that are not inherent or an integral part of financing or investments made by the project.
<b>EQUALITY CRITERIA IN RESOURCE APPLICATION</b>	
<b>H17 - Equality in resource application per state</b>	
	Avoid project concentration in the same country.

## I - AMAZON FUND'S SUPPORT FOCUSES IN 2017 AND 2018

### GENERAL GUIDELINES

#### I 1 – Focuses for 2017 and 2018

The following items define the Amazon Fund's focus for the biennium 2017 and 2018 and establish additional guidelines and criteria. In the absence of a review of these focuses until 12.31.2018 the focuses defined herein will be in force until the next meeting of the Amazon Fund's Guidance Committee or until the approval of the new guidelines.

#### I 2 – Requirements for supporting states

Support for new projects presented by state governments will be conditional upon the state concerned being in the process of implementing the CAR in its territory, using either their own resources, those from the Amazon Fund or from other sources. Priority should be given to new projects submitted by states that are integrated or in the process of being integrated into the National System of Control of Origin and Forest Products - SINAFLO, in compliance with article 35 of Law 12,651 / 2012.

Contracts between the Amazon Fund and the states within the Brazilian Amazon must include a contractual obligation for the state to revise their plans to prevent and combat deforestation (PPCDs) if they are outdated, and another obligation to produce and publicize an annual monitoring report on their PPCDs.

#### I 3 – Exception to the requirement of resource additionality in the Brazilian Amazon

Projects related to item I12 and projects that aim to continue or improve environmental monitoring and control of deforestation, presented by federal or state agencies or public institutions with legal mandate to carry out enforcement actions under the National Environmental System – SISNAMA, may exceptionally be exempted from the minimum condition of additionality of resources mentioned in item B8. Therefore, a technical justification formally presented by the Ministry of Environment will be required, as well as a declaration from the body / applicant institution stating the non-existence of available source of resources for the requested financial support. The above mentioned technical justification and statement are mandatory documents that must accompany the financial support request formally filed at BNDES, which will also check adherence to the conditions established in the donation agreements to the Amazon Fund.

### OPERATIONAL MODALITIES

#### I 4 - Operational modalities

The focuses here defined will be supported through the direct presentation of structuring projects or projects selected through public calls promoted directly by the Amazon Fund (BNDES) or through partner institutions.

Support for scientific and technological development projects will be provided exclusively through the public call modality (promoted directly by the Amazon Fund / BNDES or through a partner institution) or through structuring projects that have the objective of subsidizing the formulation or implementation of public policies, according to criteria to be defined by Amazon Fund's Guidance Committee (COFA).

The COFA may establish guiding criteria to induce the submission of projects adhering to the focus of the biennium, establishing aspects such as the minimum target scope, supported items, deadlines and other constraints.

#### I 5 - Structuring projects

Structuring project is one that meets cumulatively the following criteria:

- a. Contributes to the implementation of a public policy.
- b. Will have a decisive impact to solve the problem situation.
- c. Has scale in the territory (whenever the project develops its actions in the territory).

Structuring projects may be proposed by (a) federal government and its agencies; (b) state governments and its agencies; (c) private non-profit organizations; (d) companies or (e) multilateral institutions.

The criterion "has scale in the territory" will be considered as fulfilled when, for example, the project actions cover in its entirety a set of municipalities, rural settlements or protected areas, a state planning region, the surroundings of major infrastructure works etc. Defining territorial scale must be done in accordance with the project's characteristics and the respective public policies.

#### I 6 - Call-to-submission

In addition to calls for projects directly promoted by the Amazon Fund (BNDES), support will be granted to partner institutions to promote public calls for projects. The partner institutions must demonstrate experience, knowledge and operational capacity to confer quality and scale to public calls, with partner institutions being understood as entities of the third sector and the federal and state governments.

The Amazon Fund will be permanently open to the presentation by partner institutions of requests for financial collaboration that seek their support for public calls for projects, focusing on the actions prioritized for the biennium 2017 and 2018 in the Legal Amazon.

Public calls promoted directly by the Amazon Fund or indirectly supported through the partner institutions should be publicized on the Amazon Fund's website or the partner institutions responsible, as the case may be.

## BRAZILIAN AMAZON - MONITORING AND CONTROL

### I 7 - Inspection and control of environmental crimes and infractions

Promotion of inspection, investigation and combat of crimes and environmental infractions, including support: (i) to increase the capacity of environmental inspection, investigation and combat of federal and state governments; (ii) integration of state intelligence and oversight systems with federal systems; (iii) integrated control actions, involving state environmental agencies, IBAMA, FUNAI and ICMBio; (iv) the integrated computerization of state forest management data to SINAFLOR, including authorizations to suppress vegetation and management plans and (v) allocation of seized assets.

### I 8 - Implementation and effectuation of the Rural Environmental Registry (CAR) and environmental regularization

Promotion of the environmental regularization process through: (i) support for registration in the Rural Environmental Registry (CAR) of small properties or rural family possessions (up to 4 government-established modules), indigenous lands and quilombolas; (ii) support to the integration of state CAR systems into the SICAR Rural Environmental Register System and adaptation of complementary modules for Analysis and Monitoring, management of State Environmental Regularization Programs (PRAs) and Environmental Reserve Quotas (CRAs); (iii) support to the development and implementation of the PRAs; (iv) support for activities to validate enrolments in the CAR; (v) support to the elaboration and validation of projects for the rehabilitation of degraded and altered areas (PRADAs) of small farms or family farms; and (vi) support for structuring and operationalizing the monitoring of the environmental regularity of rural properties.

Support for the implementation of the CAR and the environmental regularization of rural properties will be done primarily through operations with the states, which may sign partnerships / contracts to carry out the necessary actions, in compliance with applicable legislation. However, CAR and environmental regularization projects carried out by other partners in areas that were not included in state-run projects may also receive support.

### I 9 - Preventing and combating the occurrence of forest fires

Support for actions to prevent and combat forest degradation caused by fires in native vegetation presented by government agencies operating in the Legal Amazon, military fire brigades or non-governmental organizations in partnership with government agencies, primarily in rural settlements, protected areas and lands of indigenous peoples.

To promote the integration of the information on authorizations of fires issued by the states with the National Fire Information System - Sisfogo, through support to the integration of systems.

### I 10 - Improvement and strengthening of plant cover monitoring

Support for the monitoring of deforestation, the dynamics of land use change, forest degradation and burning in the Legal Amazon.

## BRAZILIAN AMAZON - FOSTERING SUSTAINABLE PRODUCTION ACTIVITIES

### I 11 - Economic activities for the sustainable use of forests and biodiversity

Structuring, strengthening and consolidation of productive chains of socio-biodiversity and family-based sustainable agriculture, including valorization of the extractive economy, timber and non-timber forest management, aquaculture and fishing arrangements, agroecological and agroforestry systems, community-based tourism, sustainable cattle raising and technical assistance for these activities.

### I 12 - Green grant program and payments for environmental services

Strengthening of the Environmental Conservation Support Program - "Bolsa Verde" and of incentives for community-based environmental and ecosystem services.

### I 13 - Restoration of degraded and altered areas

Support to the implementation of the National Policy for the Recovery of Native Vegetation - Proveg, especially the restoration of degraded and altered areas of: (i) small farms or properties up to 4 government-established modules, with prioritization of PRADAs implementation and (ii) protected areas, indigenous lands and traditional communities.

## BRAZILIAN AMAZON - LAND-TITLE AND TERRITORIAL PLANNING

### I 14 - Land-title regularization

Support for land-title regularization of public lands, with priority of the critical areas with greater deforestation and agrarian conflicts, including support for the allocation of public lands and the holding of joined efforts for agrarian and environmental regularization. No support will be made available to pay for expropriation.

<p><b>I 15 - Territorial planning</b></p> <p>Support for the elaboration, revision and detailing of ecological-economic zoning (ZEE), including actions to train managers and technicians of government and civil society, and the formulation of action plans that foresee the application of the ZEE in other public policy instruments, such as the Pluri-annual Plan, Environmental Regularization Programs, environmental licensing, rural credit granting and the granting of rights to use water resources.</p>
<p><b>I 16 - Indigenous lands</b></p> <p>Support to the elaboration and implementation of the territorial and environmental management plans for indigenous lands, aligned with the National Policy for the Territorial and Environmental Management of Indigenous Lands - PNGATI, including the protection and surveillance of indigenous lands.</p>
<p><b>I 17 - Protected areas</b></p> <p>Support for the creation, recognition and consolidation of protected areas (nature conservation units and indigenous lands).</p> <p>Support for the formation of ecological corridors, connecting public and private lands, by means of, among others: (i) the creation of protected areas (nature conservation units); (ii) improvement of the environmental and territorial management of protected areas, including areas of permanent preservation (APP), legal reserve and restricted use; (iii) recovery of degraded areas, in compliance with the provisions of item I13 and (iv) of the formalization of agreements to maintain corridors.</p> <p>Support for the restoration and maintenance of priority areas for management of protected areas in buffer zones, noted that support will be limited to small properties or rural family possessions (up to 4 government-established modules).</p>
<p><b>I 18 - Settlements</b></p> <p>Support for the environmental and land regularization of settlements, including the implementation of the "Green Settlements Program" (Program for Prevention, Combat and Alternatives to Illegal Deforestation in Amazon Settlements).</p>
<p><b>BRAZILIAN AMAZON - SCIENCE, INNOVATION AND ECONOMIC INSTRUMENTS</b></p>
<p><b>I 19 - New products from socio-biodiversity</b></p> <p>Support for scientific and technological research focused on socio-biodiversity product chains, including the development of new products based on Amazonian biodiversity - pharmaceuticals, phytopharmaceuticals, medicines, cosmetics and other products of interest to the chemical and food industries.</p>
<p><b>I 20 - Sustainable production activities</b></p> <p>Support for scientific and technological research aimed at timber and non-timber forest management, recovery of degraded areas (including species selection, seed management and methods to optimize recovery), integration of crop-livestock-forest (ILPF), fisheries and aquaculture, conservation of water resources and soil.</p>
<p><b>I 21 - Systems for the monitoring and control of deforestation, forest degradation and fires</b></p> <p>Support to the development, implementation and improvement of land use and land cover monitoring systems and control of deforestation, forest degradation, regeneration and fires to quantify deforestation, as a subsidy to public policies to prevent and combat deforestation.</p>
<p><b>I 22 - Studies, projections and simulations</b></p> <p>Support for studies, projections and simulations related to land use and land cover, with the objective of subsidizing the elaboration and implementation of public policies to prevent and combat deforestation and to reduce greenhouse gas emissions resulting from deforestation, according to criteria to be defined by COFA.</p>
<p><b>I 23 - Community financing</b></p> <p>Support for the structuring and contribution of financial resources to community revolving funds or similar instruments to enable the expansion of the value chains of forest management, socio-biodiversity and agroecology.</p>
<p><b>I 24 - Promotion of public procurement policy</b></p> <p>Support for the expansion of the public procurement policy for products originating from forest management, socio-biodiversity and agroecology, aiming to give them support and a greater scale.</p>
<p><b>I 25 - Economic instruments and impact investment</b></p> <p>Support for the development of a social and environmental impact investment ecosystem and other impact initiatives in the Brazilian Amazon, as well as for economic instruments that allow the Amazon Fund resources to be combined with private resources or with other sources.</p>

## AMAZON FUND'S SUPPORT OUTSIDE THE BRAZILIAN AMAZON

### I 26 – Rural Environmental Registry (CAR) and environmental regularization of rural properties

Promotion of the environmental regularization process through: (i) support for registration in the Rural Environmental Registry (CAR) of small properties or rural family possessions (up to 4 government-established modules); (ii) support for the integration of state CAR systems into the Rural Environmental Registry System - SICAR and adaptation of complementary modules for analysis and monitoring; and (iii) support to activities for the validation of enrollments in the CAR.

Beneficiaries of CAR support projects outside the Brazilian Amazon must necessarily make a financial contribution. In projects that contemplate states where the cerrado, caatinga and pantanal biomes represent, cumulatively, more than 40% of their territory, financial contributions must be of at least 10% of the total value of the project. In other cases outside the Brazilian Amazon, financial contributions must be of at least 20% of the total value of the project.

Support for the implementation of the CAR will be done primarily through operations with states, which may sign partnerships / contracts to carry out the necessary actions, in compliance with applicable legislation.

### I 27 – Deforestation monitoring systems

Support to projects that contribute to the creation or improvement of systems for monitoring forest cover outside the Brazilian Amazon, according to the guidelines and criteria in force (see items **G1** to **G20**).

Support for protection and surveillance on indigenous lands.

Promote integrated computerization of state forest management data to the Sinaflor - National Forest Control Source System, including authorizations for suppression of vegetation and management plans.

## AMAZON FUND'S SUPPORT IN OTHER TROPICAL COUNTRIES

### I 28 – Deforestation monitoring systems in other tropical countries

Support for projects that contribute to the creation or improvement of forest cover monitoring systems and deforestation control systems in other tropical countries, according to current guidelines and criteria (see items **H1** to **H17**).